

Hierarchical Clustering

Hierarchical clustering is a statistical method used to assign similar objects into groups called clusters. It is typically performed on results of statistical analyses, such as a list of significant genes/transcripts, but can also be invoked on the full data set, as a part of exploratory analysis.

Hierarchical clustering is an unsupervised technique, meaning that the number of clusters is not specified upfront. In the beginning, each row and/or column is considered a cluster. The two most similar clusters are combined and continue to combine until all objects are in the same cluster. Hierarchical clustering produces a tree (called a dendrogram) that shows the hierarchy of the clusters.

This tutorial will illustrate how to:

- [Invoking Hierarchical Clustering](#)
- [Heatmap](#)
- [Bubble map](#)
- [Configuration](#)
 - [Axes](#)
 - [Dendrograms](#)
 - [Annotations](#)
 - [Description](#)
- [In-plot controls](#)

Invoking Hierarchical Clustering

To invoke hierarchical clustering, select a data node containing count data (e.g. *Gene counts*, *Normalized counts*, *Single cell counts*), or a *Feature list* data node (to cluster significant genes/transcripts) and then click on the **Hierarchical clustering / heat map** option in the context sensitive menu (Figure 1).

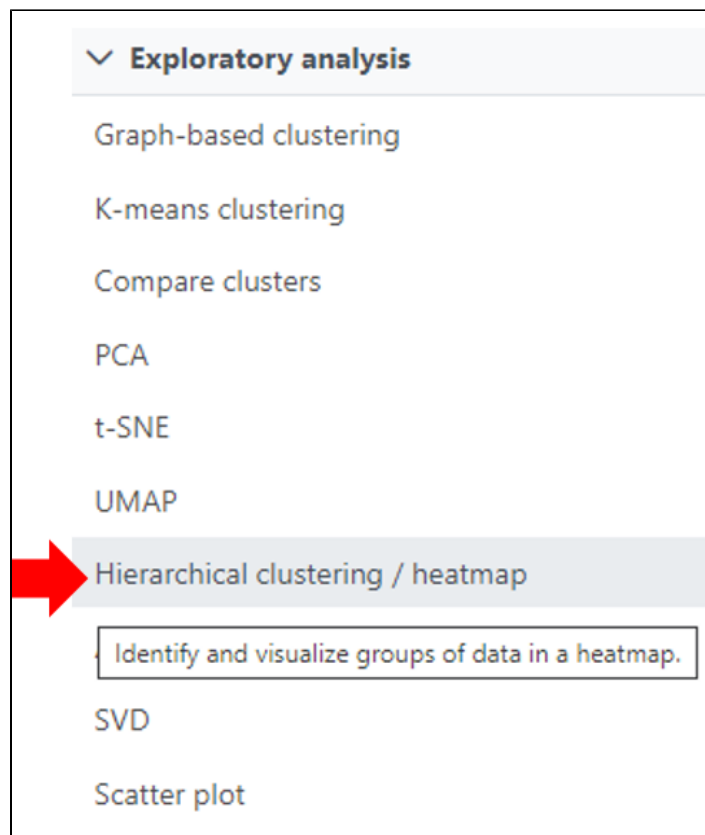


Figure 8. Hierarchical clustering as a part of visualization tools.

The hierarchical clustering setup dialog (Figure 2) enables you to control the clustering algorithm. Starting from the top, you can choose to plot a **Heatmap** or a **Bubble map** (clustering can be performed on both plot types). Next, perform Ordering by selecting **Cluster** for either *feature order* (genes/transcripts /proteins) or *cell/sample/group order* or both. Note the context-sensitive image that helps you decide to either perform hierarchical clustering (dendrogram) or assign order (arrow) for the columns and rows to help you orient yourself and make decisions (In Figure 2 below, Cluster is selected for both options so a dendrogram is shown in the image).

Heatmap
Bubble map

Ordering

Feature order

☒ Cluster
Cluster by distance metrics to sort based on similarity. Requires at least 3 features.

☐ Assign order
Assign feature order using a saved feature list. The features will be filtered to those in the list and will be ordered as they are listed.

Default order

Cell order

☒ Cluster
Cluster by distance metrics to sort based on similarity. Requires at least 3 cells.

☐ Assign order
Order cells by an attribute. Categorical attributes with fewer than 50 categories can be manually ordered by drag and drop. Numeric attributes can be sorted.

Default order

Filtering

☐ Filter cells
Specify the logical operations to filter by. Use AND for inclusion if all conditions pass. Use OR for inclusion if any conditions pass.

include
Sample name
in
Sample 2
OR

AND

Advanced options

Option set

-- Default --
Configure

Back
Finish

Figure 9. Setup dialog of hierarchical clustering (Cluster is selected for both Feature order and Cell order to perform hierarchical clustering on both columns)

When choose **Assign order**, the *Default order* of cells/samples/groups (rows) is based upon the labels as displayed in the *Data* tab and features (columns) are dependent on the input data of the data node.

Feature order can be assigned by selecting a managed list (e.g. generate saved feature lists from report nodes or add lists under list management in the settings) in the drop-down which will limit the features to only those in the list and the features will be ordered as they are listed. If a feature is not available, based on the input of the data node, it will not be shown in the plot (in other words, if the features from the list are not there they will not be plotted). Note that If no features are available from the data node, the task will not be able to perform and an error message will be shown.

Cell/Sample/Group order can also be assigned by choosing an attribute from the drop down list. Click and drag to rearrange categorical attributes; numeric attributes can be sorted in ascending or descending order (note the arrows in the image which are different from the dendrogram for Cluster) (Figure 3).

Ordering

Feature order

☐ Cluster

☒ Assign order
10x Genomics Pan-Cancer Panel

Cell order

☐ Cluster

☒ Assign order
Sample name

Tumor
Normal

Figure 10. The order of samples in the heatmap can be customized.



Another way to invoke a heatmap without performing clustering is via the data viewer. When you select the **Heatmap** icon in the available plots list, data nodes that contain two-dimensional matrices can be used to draw this type of plot. A bubble map can also be similarly plotted (use the arrow from



the heatmap icon to select a **Bubble map** for descriptive statistics that have been generated in the data analysis pipeline.

If you do not want to cluster all the samples, but select a subset based on a specific sample or cell attribute (i.e. group membership), check **Filter cells** under *Filtering* and set a filtering rule using the drop down lists (Figure 4). Notice the drop-down lists allow more than one factor (when available) to be selected at a time. When configuring the filtering rule, use **AND** to ensure all conditions pass for inclusion and use **OR** for any conditions to pass.

The image shows a 'Filtering' panel in a software interface. At the top, there is a 'Filter cells' checkbox which is checked. Below this, there are two filter rules connected by an 'AND' operator. The first rule is 'include' with the attribute '# Cells' and the operator '>' and the value '1000.0'. The second rule is 'exclude' with the attribute 'Sample name' and the operator 'in', followed by a dropdown menu showing 'Normal' and 'Tumor' as options. The 'Normal' option is selected. Below the filter rules, there is a section for 'Advanced options'.

Figure 11. Specifying a subset of data for clustering, based on sample (cell) attributes. Specify the logical operations to filter and the filtering rule that is defined will be applied.

Hierarchical clustering uses distance metrics to sort based on similarity and is set to *Average Linkage* by default. This can be adjusted by clicking **Configure** under *Advanced options* (Figure 5). You can choose how the data is scaled (sometimes referred to as normalized). There are three *Feature scaling* options, **Standardize** (default for a heatmap) will make each column mean as zero and standard deviation as 1 in all features. This is the default scaling for a heatmap and it makes all of the features (e.g., genes or proteins) have equal weight; standardized values are also known as Z-scores. The scaling mode **Shift** will make each column mean as zero. Choose **None** to not scale and perform clustering on the values in the input data node (this is the default for a bubble map). If a bubble map is scaled, scaling will be performed on the group summary method (color).

Cluster distance metric for cells/samples and features is used to determine how the distance between two clusters will be calculated:

- *Single Linkage*: the distance between two clusters is determined by the distance of the closest objects in the two clusters
- *Complete Linkage*: the distance between two clusters is equal to the distance between the two furthest members of those clusters
- *Average Linkage*: the average distance between all the pairs of objects in the two different clusters is used as the measure of distance between the two clusters
- *Centroid* method: the distance between two clusters is equal to the distance between the centroids of those clusters
- *Ward's* method: the distance between two clusters is designed to minimize the size of an error measure based on the sum of squares

Point distance metric is used to determine the distance between two rows or columns. For more detailed information about the equations, we refer you to the [distance metrics chapter](#).

Advanced options

Feature scaling

☐ None
☒ Standardize
☐ Shift

Sample clustering

Cluster distance metric

Average Linkage

Point distance metric

Euclidean

Feature clustering

Cluster distance metric

Average Linkage

Point distance metric

Euclidean

Apply

Save as new

Cancel

Figure 12. Advanced configuration: feature scaling options and distance metrics option for points and clusters can be configured in this dialogues/groups and features.

Heatmap

The output of a *Hierarchical clustering* task can be a heatmap (Figure 6) or a bubble map with or without dendrograms depending on whether you performed clustering on cells/samples/groups or features. By default, samples are on rows (sample labels are displayed as seen in the *Data* tab) and features (depending on the input data) are on columns. Colors are based on standardized expression values (default selection; performed on the fly). Dendrograms show clustering of rows (samples) and columns (variables).

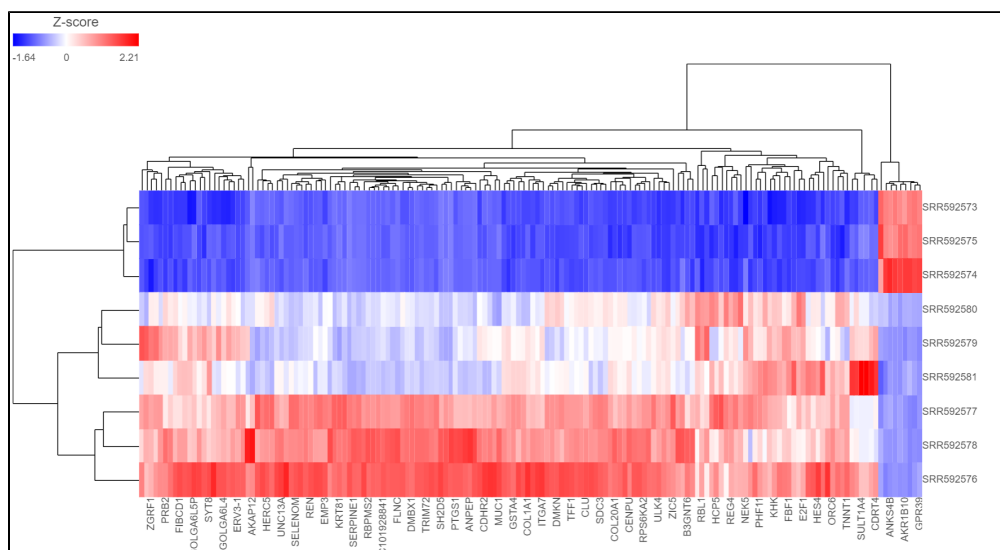


Figure 13. An example heatmap is shown wherein samples are on rows, variables (in this example: genes) are on columns, and the heatmap is based on standardized gene expression values (z-scores).

Depending on the resolution of your screen and the number of samples and variables (features) that need to be displayed, some binning may be involved. If there are more samples/genes than pixels, values of neighboring rows/columns will be averaged together. Use the **mouse wheel** to zoom in and out. When you zoom in to certain level on the heatmap, you will see each cell represent one sample/gene. When you mouse over the row dendrogram or label area and zoom, it will only zoom in/out on the rows. The binning on the columns will remain the same. Similarly, when you mouse over the column dendrogram or label area and zoom, it will only zoom in/out on the columns. The binning on the rows will remain the same. To move the map around when zoomed in, press down the left mouse button and drag the map. The plot can be saved as a full-size image or as a current view; when **Save image**

? Unknown Attachment

is clicked, a prompt will ask how you would like to save the image.

Bubble map

The *Hierarchical clustering* task can also be used to plot a bubble map. Let's go through the steps to make a bubble map (Figure 7):

1. Choose to plot a **Bubble map** (note the selection of a bubble map in the image which is different from the heatmap). This will open the *Bubble map settings*.
2. Configure the *Bubble map settings*. First, *Group cells by* an available categorical attribute (e.g. cell type). Next, summarize the group's first dimension by color (*Group summary method*) then choose an additional dimension to plot size (*Additional statistic*) by using the drop down lists. If these settings are not adjusted, the default dimensions will generate two descriptive statistic measurements that plot the group mean by color and size by the percent of cells. Hierarchical clustering can be performed on the first assigned dimension (by color) which is the *Group summary method*. The second dimension (size) which is an *Additional statistic* is not required but it is selected by default (this can be unchecked with the checkbox).
3. *Ordering* the plot columns (*Feature order*) and rows (*Group order*) behaves the same as a heatmap. In this example, *Ordering* for both features and groups by **Cluster** uses hierarchical clustering to perform distance metrics (default settings will be used but these metrics can be changed under **Configure** in the *Advanced options* section). Alternatively, **Assign order** to features using a managed (saved) feature list or the default order which is dependent on the input data. **Assign order** to groups can be used to rearrange the attribute by drag and drop, ascending or descending order, or default order which is how the labels as displayed in the *Data* tab.
4. *Filtering* can be applied to the groups by checking **Filter cells** then specifying the logical operations to filter by (this is the same as a heatmap).
5. *Advanced options* let the user perform *Feature scaling* (e.g. Standardize by a z-score) but in a bubble map the default is set to **None**. It also allows the user to change the *Group clustering* and *Feature clustering* options by altering the *Cluster distance metrics* and *Point distance metrics* (similar to a heatmap).

Plot *i* ☐ Heatmap *i* ☒ Bubble map *i*

Bubble map settings

Group cells by

Group summary method *i*

Additional statistic *i* ☒

Ordering

Feature order

☒ Cluster *i*

☐ Assign order *i*

Group order

☒ Cluster *i*

☐ Assign order *i*

De

Ce

N

T

Sum

Coefficient of variation

Geometric mean

Median Absolute Deviation

Max

Mean

Median

Min

Number of cells

Percent of cells

Q1

Q3

Range

Standard deviation

Variance

Groups

Features

Filtering

Filter cells *i* ☐

include in OR

AND

Advanced options

Option set [Configure](#)

[Back](#) [Finish](#)

Figure 14. The bubble map dialogue, similar to the heatmap dialogue, has groups (cells/samples) on rows and features (variables) on columns. By default, the bubble map color (group summary method) is mean and size (additional statistic) is the percent of cells which can be changed using the drop down list.

Configuration

There are plot *Configuration/Action* options for the **Hierarchical clustering / heatmap** task which apply to both the heatmap and bubble map in the *Data viewer* (below): **Axes**, **Heatmap**, **Dendrograms**, **Annotations**, and **Description**. Click on the icon to open these configuration options.

Configure

☒ Axes

☒ Heatmap

☒ Dendrograms

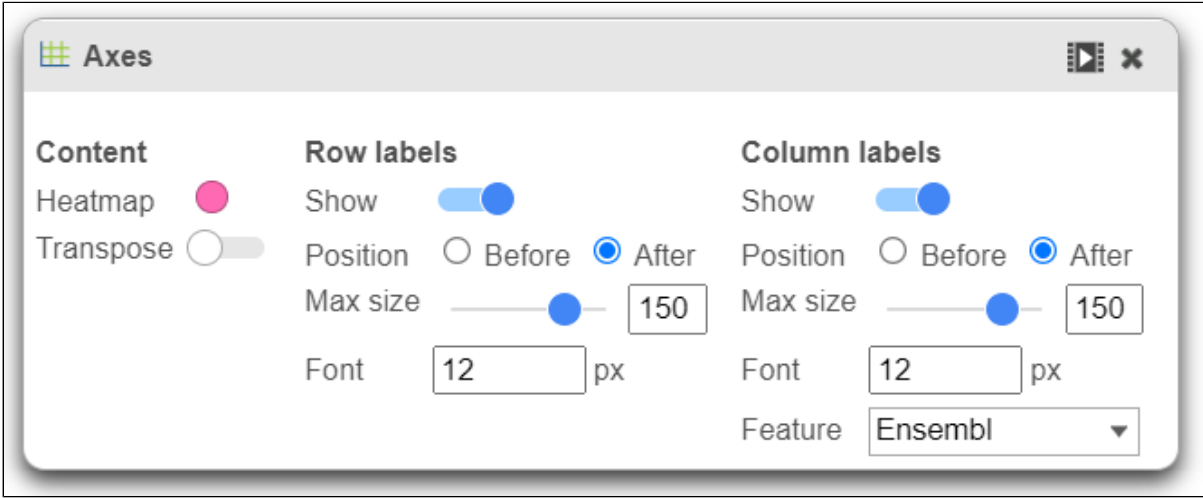
☒ Annotations

☒ Description



Axes

- This section controls the **Content** or data source used to draw the values in the heatmap or bubble map and also the ability to transpose the axes. The plot is a color representation of the values in the selected matrix. Most of the data nodes contain only one matrix, so it will just say *Matrix* for the chosen data node. However, if a data node contains multiple matrices (e.g. descriptive statistics were performed on cluster groups for every gene like mean, standard deviation, percent of cells, etc) each statistic will be in a separate matrix in the output data node. In this case, you can choose which statistic/matrix to display using the drop-down list (this would be the case in a bubble map).
- To change the orientation (switch the columns and rows) of the plot, click on the **Transpose**  toggle switch.
- Row labels and Column labels can be turned on or off by clicking the relevant toggle switches.
- The label size can be changed by specifying the number of pixels using *Max size* and *Font*. If an Ensembl annotation model has been associated with the data, you can choose to display the gene name or the Ensembl ID using the *Content* option.



Axes

Content

Heatmap 

Transpose 

Row labels

Show 

Position ☐ Before ☒ After

Max size  150

Font px

Column labels

Show 

Position ☐ Before ☒ After

Max size  150

Font px

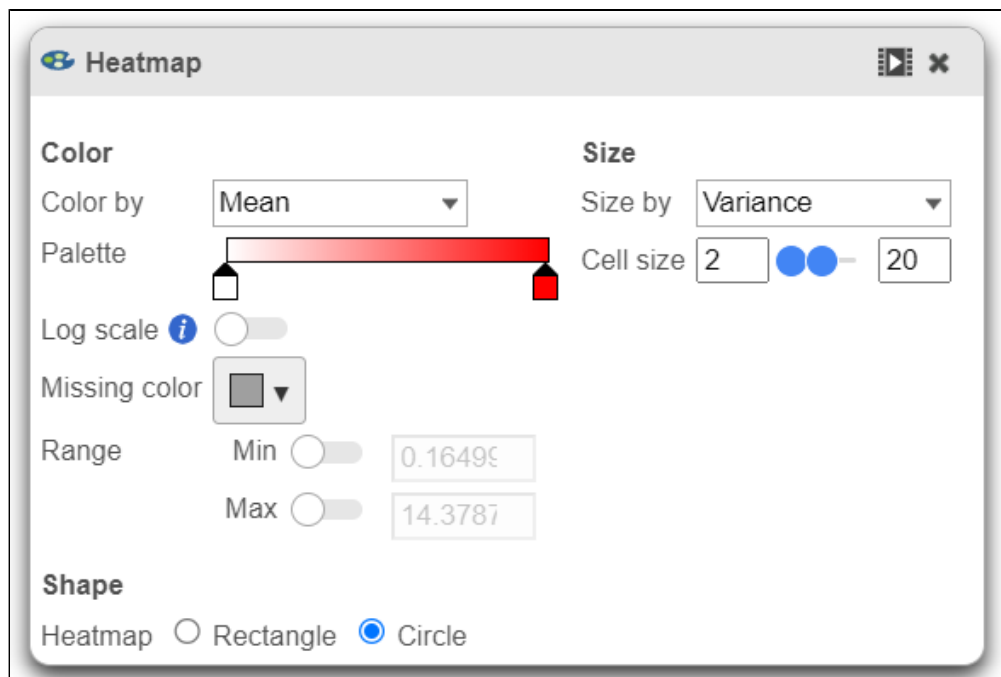
Feature



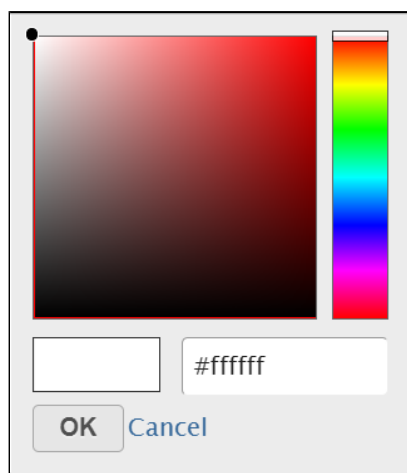
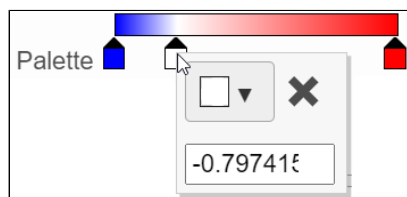
Heatmap

This section is used to configure the color, range, size, and shape of the components in the heatmap.

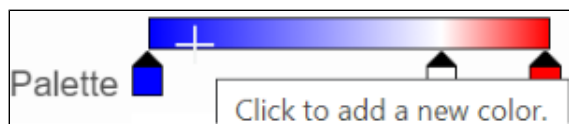
- In the color palette horizontal bar, the left side color represents the lowest value and the right side color represents the highest value in the matrix data. Note that when you zoom in/out the lowest and highest values captured by the color palette may change. By default, there are 3 color stops (): minimum, middle, and maximum color value of the default range calculated on the matrix. Left-click on the middle color stop and drag left or right to change the middle value this color stop represents. If you left-click on the middle color stop once, you can change the color and value this color stop represents (Figure 11). Click on the  to remove this color stop.



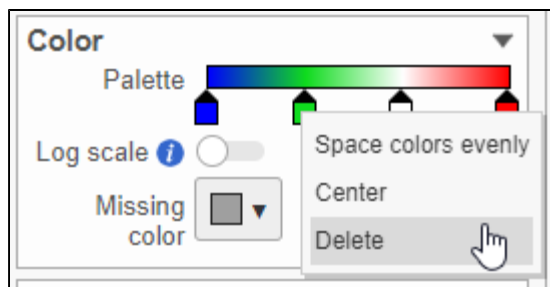
- Click on the color square or the adjacent triangle (▼) to choose a color to represent the value. This will display a color picker dialog which allows selection of a color, either by clicking or by typing a HEX color code, then clicking OK.



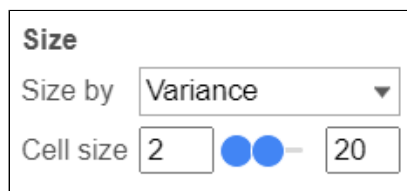
- The min and max color stops cannot be dragged or removed. If you left-click on them, you can choose a different color. When you click on the Palette bar, you can add a new color stop between min and max. Adding a color stop can be useful when there is an outlier value in the data. You can use a different color to represent different value ranges.



- Right-clicking a color stop will reveal a list of options. *Space colors* evenly will rearrange the position of the stops so there is an equal distance between all stops. *Center* will move a stop to the middle of the two adjacent stops. *Delete* will remove the stop.



- To change the min and max threshold values represented by the color palette, click on the toggle switch () under *Range*, and specify the values in the text boxes.
- In addition to color, you can also use the *Size* drop-down list to size by a set of values from another matrix stored in the same data node. Most of the data nodes contain only one matrix, so the only options available in the *Size* drop down will be *None* or *Matrix*. In cases where you have multiple matrices, you might want to use the color of the component in the heatmap to represent one type of statistic (like mean of the groups) and the size of the component to represent the information from a different statistic (like std. dev).



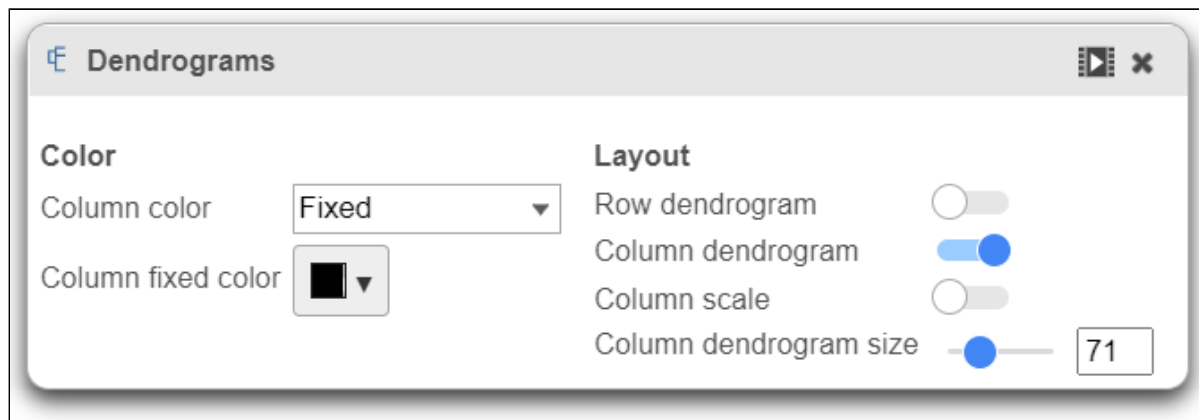
- The shape of the heatmap cell (component) can be configured either as a rectangle or circle by selecting the radio button under *Shape*.



Dendrograms

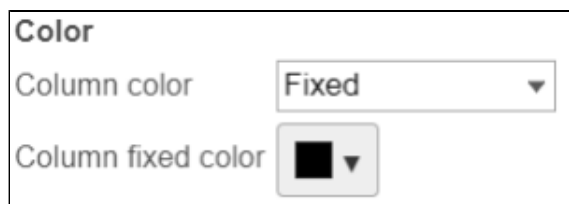


If cluster analysis is performed on samples and/or features, the result will be displayed as dendrograms. By default, the dendrograms are all colored in black.

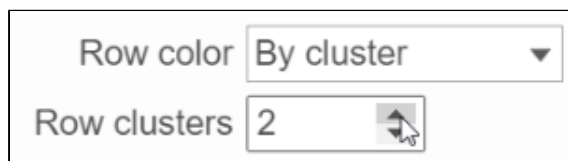


The color of the dendrograms can be configured.

- Click on the color square or its triangle () to choose a different color for the dendrogram.



- When the *By cluster* in the Row/Column color drop-down list, the number of clusters needs to be specified. The top N clusters will be in N different colors.



Row color By cluster ▼

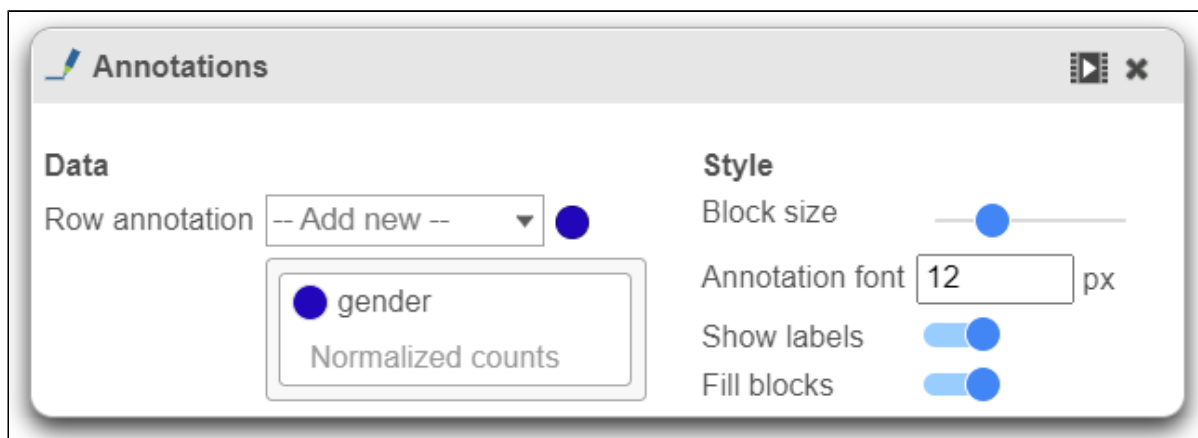
Row clusters 2



Annotations

This section allows you to add sample or cell level annotations to the viewer. First, make sure to choose the correct data node which contains the annotation information you would like to use by clicking the circle (●). All project level annotations will be available on all data nodes in the pipeline.

- Choose an attribute from the *Row annotation* drop-down list. Multiple attributes can be chosen from the drop-down list and can be reordered by clicking and dragging the groups below the drop-down list. Each attribute is represented as an annotation bar next to the heatmap. Different colors represent the different groups in the attribute.
- The width of the annotation bar can be changed using the *Block size* slider when the *Show labels* toggle switch is on.
- The annotation label font size can be changed by specifying the size in pixels.
- The *Fill blocks* toggle switch adds or removes color from the annotation labels.



Annotations

Data

Row annotation -- Add new -- ●

- gender
- Normalized counts

Style

Block size

Annotation font 12 px

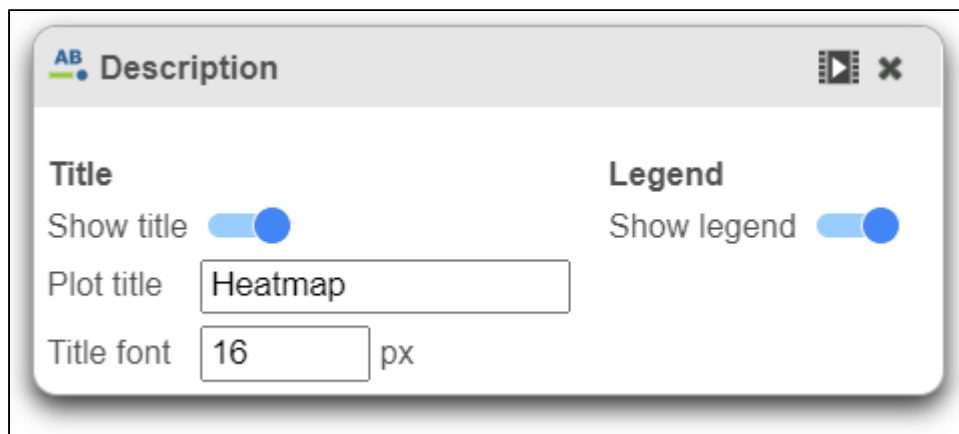
Show labels ☒

Fill blocks ☒



Description

Description is used to modify the *Title* and toggle on or off the *Legend*.



Description

Title

Show title ☒

Plot title Heatmap

Title font 16 px

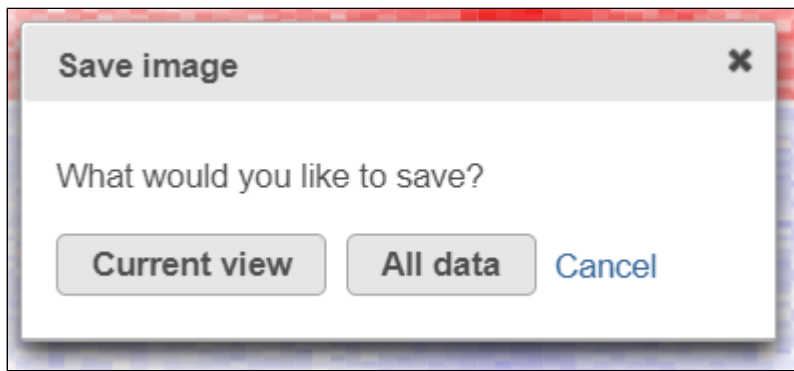
Legend

Show legend ☒

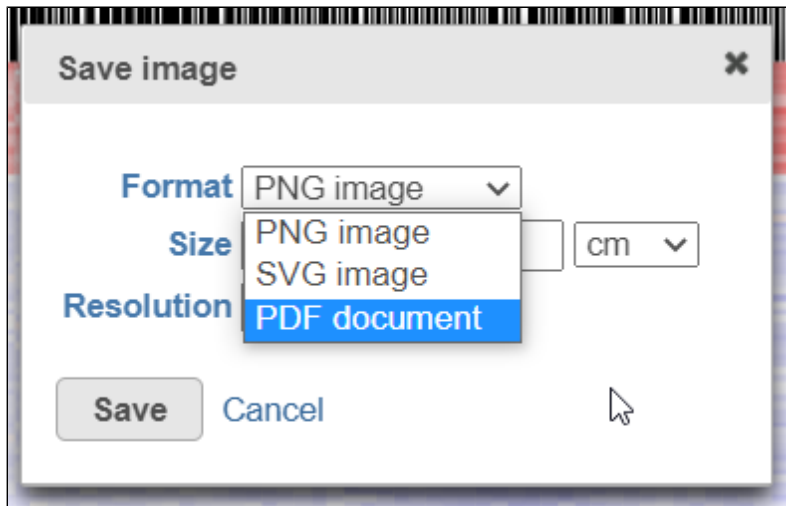
In-plot controls

The heatmap has several different mouse modes which modify the way the plot responds to the mouse buttons. The mode buttons are in the upper right corner of the heatmap. Clicking one of these buttons puts the heatmap into that mode.

- In point mode () , you can left-click and drag to move around the heatmap (if you are not fully zoomed out). Left-clicking once on the heatmap or on a dendrogram branch will select the associated rows/columns.
- In selection mode () , you can click and drag to select a range of rows, columns, or components.
- In flip mode () , you can click on a line in the dendrogram (which represents a cluster branch) and the location of the two legs of the branch will be swapped. If no clustering is performed (no dendrogram is generated), in this mode, you can click on the label of an item (observation or feature), drag and drop to manually switch orders of the row or column on the heatmap.
- Click on reset view () to reset to the default
- *Save Image* icon () enables you to download the heat map to your local computer. If the heat map contains up to 2.5M cells (features * observations), you can choose between saving the current appearance of the heat map window (*Current view*) and saving the entire heat map (*All data*). Depending on the number of features / observations, Partek Flow may not be able to fit all the labels on the screen, due to the limit imposed by the screen resolution. *All Data* option provides an image file of sufficient size so that all the labels are readable (in turn, that image may not fit the compute screen and the image file may be quite large). If the heat map exceeds 2.5M cells, the *Current view* option will not be shown, and you will see only a dialog like the one below.



- After selecting either *Current view* (if applicable) or *All data* button, the next dialog (below) will allow you to specify the image format, size, and resolution.



Additional Assistance

If you need additional assistance, please visit [our support page](#) to submit a help ticket or find phone numbers for regional support.



Your Rating:  Results:  12 rates